

12-19-00

A

**PATENT APPLICATION TRANSMITTAL LETTER**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of **WILLIAM L. COURTNEY**, entitled: **INTEGRATED OR ATTACHED SPACE OCCUPYING CEPHALIC RESTRAINING COLLAR FOR IMPROVED LIFE JACKET PERFORMANCE**, which claims the benefit of and priority to U.S. Application Serial No. 60/254,380, filed December 8, 2000, including specification, abstract, and claims totaling 25 pages.

Enclosed are:

- ☒ Ten (10) sheet(s) of ☐ formal, ☒ informal drawing(s).
- ☐ an assignment of the invention to
- ☐ a certified copy of a application.
- ☐ Information Disclosure Statement, ☐ Form PTO-1449.
- ☒ Applicant claims small entity status under 37 CFR 1.27.
- ☒ Executed Declaration by the Inventor.
- ☐ Preliminary Amendment
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

☒ **CLAIMS AS FILED SMALL ENTITY**

Basic Filing Fee		\$370.00
Total Claims 21 - 20 = excess	1	x \$9.00 = \$9.00
Indep Claims 3 - 3 = excess	0	x \$42.00 = \$0.00
Multiple Dependent Claims		x \$140.00 = \$0.00
=====		
TOTAL		\$379.00

☐ **CLAIMS AS FILED OTHER THAN A SMALL ENTITY**

Basic Filing Fee		\$740.00
Total Claims - 20 = excess		x \$18.00 = \$
Indep Claims - 3 = excess		x \$84.00 = \$
Multiple Dependent Claims		x \$280.00 = \$
=====		
TOTAL		\$

- ☐ A duplicate copy of this sheet is enclosed.
- ☒ Certificate of Express Mail No. EL 630274804 US
- ☒ A check in the amount of \$379.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1130 as described below. I have enclosed a duplicate copy of this sheet.
  - ☐ Charge the amount of \$ as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

December 7, 2001  
Date

  
Daniel S. Polley, Reg. No. 34,902

Malin, Haley & DiMaggio, P.A.  
1936 South Andrews Avenue  
Fort Lauderdale, Florida 33316  
(954) 763-3303

Attorney Docket No. 9297.6823  
I:\900019297\FORMS\6823.229.PAT.doc

3580 U.S. PTO  
10/021519  
12/07/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

December 5, 2001

First Named Inventor : WILLIAM L. COURTNEY  
Serial No. : TO BE ASSIGNED  
Filed : CONCURRENTLY HEREWITH  
For : **INTEGRATED OR ATTACHED SPACE OCCUPYING  
CEPHALIC RESTRAINT COLLAR FOR IMPROVED  
LIFE JACKET PERFORMANCE**  
Our File No. : 9297.6823

**REQUEST AND CERTIFICATION**  
**UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

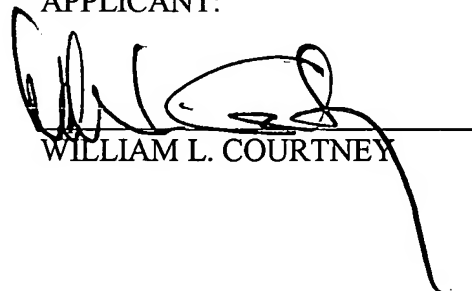
Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

DATE: 12-6-01

APPLICANT:

  
WILLIAM L. COURTNEY

PA: ... CEPHALIC RESTRAINT COLLAR ...

Serial No.: TO BE ASSIGNED

File Number: 9297.6823

**CERTIFICATE OF EXPRESS MAIL**

I HEREBY CERTIFY that the following correspondence: NON-PROVISIONAL PATENT APPLICATION TOTALING 24 PAGES; TEN (10) SHEETS OF DRAWINGS; EXECUTED DECLARATION AND POWER OF ATTORNEY; CHECK IN THE AMOUNT OF \$379.00 FOR THE FILING FEE; NO PUBLICATION NOTICE; TRANSMITTAL LETTER and RETURN POSTCARD FOR CONFIRMATION OF RECEIPT is being deposited with the United States Postal Service as Express Mail No. EL 630274804 US, addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 7<sup>th</sup> day of December, 2001.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.

  
Betty Bernal, Paralegal

Date: 12/7/01

MALIN, HALEY & DiMAGGIO, P.A.  
1936 South Andrews Avenue  
Fort Lauderdale, Florida 33316  
(954) 763-3303  
I:\9000\9297\FORMS\6823.Mail Cert Xpress.doc